

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sturm et al.
Title: CASH-SETTLED
COMMODITY FUTURES
CONTRACTS
Appl. No.: To Be Assigned
Filing Date: 13 January 2004

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

EL96241765245 1/13/04
(Express Mail Label Number) (Date of Deposit)

JANE HEROLD
(Printed Name)
Jane Herold
(Signature)

UTILITY PATENT APPLICATION
TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

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☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (28 pages).
- ☐ Informal drawings (0 sheets, Figures 0).
- ☒ Declaration and Power of Attorney (4 pages).
- ☒ Assignment of the invention to Chicago Board of Trade.
- ☒ Assignment Recordation Cover Sheet.
- ☒ Check in the amount of \$40.00 for Assignment recordation.
- ☒ Application Data Sheet (37 CFR 1.76).
- ☐ Claim for Convention Priority.

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$770.00		\$770.00
Total	49	-	20	=	29	x	\$18.00	=	\$522.00
Claims:									
Independ	8	-	3	=	5	x	\$86.00	=	\$430.00
ents:									
If any Multiple Dependent Claim(s) present:						+	\$290.00	=	\$0.00
							SUBTOTAL:	=	\$1722.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):								=	\$0.00
							TOTAL FILING FEE:	=	\$1,722.00

- ☒ A check in the amount of \$1,722.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1450. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1450.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 13 Jan 2004

By 

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